Two Approaches to Code Mobility in an Agent-based E-commerce System

Costin Badica, Maria Ganzha, and Marcin Paprzycki

Abstract—Recently, a model multi-agent e-commerce system based on mobile buyer agents and transfer of strategy modules was proposed. In this paper a different approach to code mobility is introduced, where agent mobility is replaced by local agent creation supplemented by similar code mobility as in the original proposal. UML diagrams of agents involved in the new approach to mobility and the augmented system activity diagram are presented and discussed.

Keywords—Agent system, agent mobility, code mobility, e-commerce, UML formalization.

I. INTRODUCTION

Recently, we have proposed a model multi-agent e-commerce system that combined adaptability, mobility and intelligence [3, 4]. There, autonomous agents engaged in matchmaking, price negotiations and contracting (including actually “purchasing” products) on behalf of their “owners.”

Our proposal build on: (i) multi-agent e-commerce skeleton [5], (ii) flexible framework that allows agents to participate in arbitrary negotiations [1, 2], and (iii) lightweight agents migrating to remote markets and engaging in any form of price negotiations via dynamically loadable modules [5].

Finally, we proceed beyond the “act” of price negotiation. While in [6] negotiations were appended to include matchmaking, we conceptualized inside of a complete scenario consisting of: purchase request, matchmaking, price negotiations and completing purchase.


Recently we have started to re-design our system to utilize a more general and flexible agent negotiation framework introduced in [1, 2]. Its authors analyzed FIPA auction protocols and have shown that they do not provide enough structure for the development of agent-based e-commerce systems. They have also conceptualized negotiations in which multiple buyers negotiated price with a host. Within the host (which is an agent, but plays also a role of a negotiation location), the infrastructure for negotiations was provided through a number of sub-agents: Gatekeeper, Proposal Validator, Protocol Enforcer, Information Updater, Negotiation Terminator and Agreement Maker. The proposed negotiation framework consisted of (a) a generic negotiation protocol, (b) a negotiation template – a structure that defined all negotiation parameters and thus its mechanisms, and (c) taxonomy of rules applied to enforce these negotiation mechanisms.

Obviously, the two approaches can be easily combined. (1) In [1, 2] it was assumed that Buyer agents are mobile and carry with them the negotiation protocol. Obviously, our approach based on pluggable modules could have been employed to achieve lightweight mobility. (2) The Gatekeeper sub-agent does not participate in actual price negotiations as it only allows buyers into the negotiation space and provides them with the negotiation protocol and template. Thus we have removed it from the “negotiation infrastructure” (and put in the system) and made responsible for a number of additional managerial functions. However, this change did not modify the price negotiation framework itself, which was the most important contribution of [1, 2].

When combining the two approaches we had to confront the question: is there any reason for agents to be mobile? In [3] we have argued that agent and code mobility is the most optimal solution for the e-commerce model considered there. Then we have discussed why it can be expected that in the future e-stores will provide an infrastructure robust enough for mobile agents to frequent them and negotiate prices. We have followed by arguments why the proposed solution, based on dynamically loadable modules, helps reduce auction-server resource utilization and why Buyer agents should not be assembled, by the Client agents, before they are send to their destination. Finally we have discussed why there is no simple solution to the problem of finding the optimal offer when multiple agents negotiate prices within multiple e-stores and thus why our solution is as optimal as any other. Our arguments were supported through an analysis of UML diagrams of two agents directly involved in agent mobility, the mobile Buyer agent and the Gatekeeper agent that receives it.

Manuscript received July 31, 2005.

C. Badica is with the University of Craiova, Craiova 200440, Romania, (e-mail: c_badica@hotmail.com).

M. Ganzha is with the Elblag University of Humanities and Economy, 82-300 Elblag, Poland (e-mail: ganzha@op.pl).

M. Paprzycki is with the SWPS University, 03-815 Warszawa, Poland and the Oklahoma State University, Tulsa, OK 74106, USA (e-mail: marcin.paprzycki@swps.edu, phone: +48-606612166).
The main thrust of research initiated in [7] and extended and summarized in [5] stems from a basic observation that it is practically impossible for agents to be at the same time effectively mobile and intelligent [8]. Intelligence, however compactly represented, makes agents “heavy” and, moreover, the more “knowledge” they carry with them, the “heavier” they get. As a result, the more intelligent agents are the less mobile they become. Therefore it was proposed that only necessary modules are to be sent across the network and loaded by agents preparing to participate in prices negotiations. Recently we have realized that there exists another solution to the problem of combining agent mobility and intelligence. It is based on “proxy agents” that bid for products on behalf of users and that are created within the eBay auctioning system.

This paper is devoted to discussing this solution and is organized as follows. In the next section we briefly summarize the design of the original system as well as agents populating it. We follow, in Section III with the description of the modified system and the Gatekeeper as well as the Client and the Buyer agents that have changed their roles vis-à-vis the original system. Finally, we present an action diagram of the “negotiation preparation” stage of the operation of the system.

II. ORIGINAL SYSTEM DESIGN

Our e-commerce model mimics a distributed marketplace that hosts shops carrying products for sale, and clients that visit them and attempt to purchase these products. Clients negotiate prices with one or more shops (through a number of possible mechanisms selected by the shop, dynamically for each product) and choose from which to make a purchase. In the case when shops are approached by multiple clients and when they use auction-type negotiation mechanisms (instead of fixed pricing) they can choose the buyer (auction winner).

Note that we consider only situations when price negotiations ended in success (final price was higher than the reserved prices of the client and the shop); otherwise transaction is not possible (however, or system can deal with such a situation). When price negotiation ends successfully we follow the eBay/airline transaction model, where a success in price negotiations does not have to result in an actual purchase. Thus, an item is put “on hold” (reserved) for a limited amount of time. Within this time client has to issue an actual purchase order. If such an order is not delivered to the store in time, the reservation expires and the item is returned to the pool of available goods. In the case of an unsuccessful purchase attempt, client may decide to try again, or to abandon the task.

The top level conceptual architecture of the system depicting the described above system operation, in terms of agents existing in the system and their interactions, is shown in Fig. 1. Let us now describe in more detail each agent appearing in that figure and their respective functionalities.

A Client agent (CA) acts within the marketplace on behalf of a “user” that seeks a particular product. Similarly, a Shop agent (SA) represents “user” who plans to sell products within the e-marketplace. After being created the CA registers with the Client Information Center (CIC) agent and awaits orders from its owner. The SA creates its supporting agents: Gatekeeper (GA), Warehouse (WA) and multiple Seller agents (one for each product to be sold) and then registers itself and the GA with the CIC agent. Note that returning Client, Shop and Gatekeeper agents will receive their existing IDs. In this way we provide support for the future goal of agent behavior adaptability as agents in the system will be able to recognize their counterparts and differentiate their behavior depending if this is a “returning” or a “new” agent.

There is only one Client Information Center (CIC) agent in the system. It is responsible for storing, managing and providing information about all “participants” existing in the system. This information is stored in the Client Information Database (CICDB). The CICDB combines the function of registry, by storing information about and unique IDs of all “users” and of yellow pages, by storing information about all shops known in the marketplace and their offered products [6].

Upon receiving an order from its user the CA communicates with the CIC agent to obtain list of e-stores that carry the requested product. In the next step it creates one Buyer agent (BA) for each such store. After BAs are released the CA awaits messages from its BAs and sends them appropriate negotiation strategy modules. Then the CA awaits messages about results of price negotiations and upon reception performs multicriterial analysis, which store to buy from (factors such as price, history of interactions with a given shop, delivery conditions etc. can be considered). Depending on the success or failure of purchase the CA either informs user about success or performs another multicriterial analysis and on the basis of it may decide to retry purchase or abandon the task (and notify its owner about this fact).

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Fig. 1 The original e-commerce environment; solid arrows – communication; dashed arrow – agent movement; rectangular boxes surround buyer and seller systems and agents populating them.

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International Scholarly and Scientific Research & Innovation 1(7) 2007 2219
Buyer agents (BA) arrive at e-stores and communicate with the Gatekeeper agents to be admitted to the negotiations. Upon entry, they obtain (from the GA) the negotiation protocol and the negotiation template [1, 2]. In the next step, they request an appropriate strategy module (that is dependent on the negotiation template) and upon its reception inform the GA that they are ready to participate in price negotiations. When negotiations are complete BAs inform their CAs and either (i) attempt at making a purchase, (ii) re-enter negotiations, or (iii) self-destruct. The exist as long as attempts at making purchase of a given product are repeated.

On the supply side, a single Shop agent (SA) is created for each “merchant” in the system and is responsible for creating Seller agents for each product sold. These agents represent the negotiation infrastructure introduced in [1, 2]. The SA should be understood as a “store manager” that controls the flow of commodities, on the basis of multicriterial analysis adjusts the negotiation templates and strategies used by Seller agents etc.

The Seller agent (SeA) embodies functions of the host (sans the GA) introduced in [1, 2]. After being created by the SA its only role is to facilitate price negotiations.

The Warehouse agent (WA) is created to manage the stocks of available commodities. After being created it is informed by the SA about available products and their quantities. When a reservation is made (as a result of successful negotiations) the WA keeps it available for a pre-specified time. It also controls the quantity of available products and informs the SA when any of the goods is sold-out. In the future, the WA may become the interface to the product supply subsystem.

Finally, the Gatekeeper agent (GA) is the only agent that has substantially changed its role vis-à-vis that described in [1, 2]. It is created by the SA as a full-fledged agent of the system. Its main role is to be an interface between BAs and SeAs. First, it admits BAs to negotiations and provides them with the negotiation protocol and the current negotiation template. Second, in suitable moment it releases BAs to appropriate SeAs. Finally, it manages updates of negotiation templates. Note that the GS admits to negotiations only “complete” BAs – that have all modules installed and confirmed that are ready.

### III. Modified System

When analyzing the above described system one can realize that there is another way of attempting at balancing mobility and intelligence. Let us consider what happens during negotiation “preparations.” The GA communicates with incoming BAs and after admitting them to the e-store it outfits them with the generic negotiation protocol and the current negotiation template. Subsequently BAs request negotiation strategy module from their CAs. It is easy to see that only actions that involve the CA are (1) sending the BA to the store, and (2) sending it the negotiation strategy module. Let us combine these observations with the notion of a user proxy agent that is bidding on behalf of an eBay client, but is created locally, and we can construct a different scenario. Here, the CA communicates with the GA and requests that the GA creates a BA that will act on its behalf. When a decision is made that a representative of that CA can be admitted to the negotiations (which is exactly the same admission procedure as before), the GA creates a generic BA consisting of all the same modules as before: the skeleton, the communication module, the negotiation protocol and the negotiation template. In addition this newly created BA obtains information about its CA is and proceeds to request the negotiation strategy module. At the end of this process we have obtained exactly the same situation, where a BA representing a given CA through a link to it and its negotiation strategy module is ready to get involved in price negotiations on its behalf. Agent communication in the modified system is depicted in Fig. 2 (compare with Fig. 1).

Before we proceed, let us make a few comments. First, let us observe that in the proposed scenario we are able to substantially further reduce the total network utilization. Instead of sending a complete mobile agent, we send only a request for one to be created (independently, ability to remotely create agents exists already in some agent platforms). The only large data packet that is to be transferred is the strategy module. Second, this approach provides an extended e-store security, as the BAs are created locally and thus can be assured that they are safe (they do not carry with the malicious code that can attack the server). Third, the only possible problem with the proposed approach is the question of user trust – can the BA created within an unknown e-store be trusted. However, since in the proposed approach all BAs are to be generic, it can be assumed that they can be verified if they have been tampered with.

Let us no look into details of the three agents that have been changed to accommodate the new approach: the Client, the

![FIG 2 THE MODIFIED E-COMMERCE ENVIRONMENT](image-url)
Buyer and the Gatekeeper. Let us note that the remaining agents have not been changed and thus their descriptions are omitted (they can be found in [3, 4]).

**A. Client Agent**

The UML statechart diagram of the Client agent is presented in Fig. 3. After creation the CA waits for an order from the user. When such order is received the CA communicates with the CIC agent to obtain addresses of Gatekeeper agents representing shops that sell thought products. In this paper we assume that all GAs can create Buyer agents; this assumption can be relaxed and a mixed environment consisting of GAs of two types (that can and cannot create BAs) can be created. Upon reception of the list of addresses of e-stores of interest the CA sends messages (containing ID number of new Buyer agents to be created) to all pertinent GAs. After all requests to create BAs have been sent, the CA waits messages: (a) rejections by the GA, (b) requests for strategy modules, and (c) results of price negotiation (within the “Purchase product p” state – bottom panel in Fig. 3). During a specified time period, the CA gathers messages send by its BAs, containing results of negotiations. If messages from all BAs have been received or the wait-time is over the CA analyzes the situation (the MCDM state-box) and makes a decision about product purchase. If not all messages were received and/or the Client can not make a decision it comes back to the “Gathering data” state. If all messages have been received and CA cannot make a decision to buy on the basis of obtained “offers” and at the same time is not ready to abandon the purchase, it orders Buyer agents to return to negotiations.

**B. Buyer Agent**

Obviously, since in the proposed system the BA is created by the Gatekeeper (instead of the CA), the statechart diagram of the Buyer agent had to change in comparison with that presented in [3]. The modified statechart diagram is presented in Fig. 4. When comparing it with Fig. 4 of [3] it is easy to see that, as expected, changes concern only initial parts of Buyer agent operation. Since all BAs are instantiated by the GA, they are created already “inside” of the negotiating host [1, 2] and thus they do not need to ask for permission to enter. Furthermore, as a part of their initialization, they receive the generic protocol and current negotiation template. Therefore, they just have only to request, form their CA, an appropriate strategy module and from that moment on their actions follow the same rules of previously conceptualized Buyer agents [3]. Note that negotiations (box “Negotiation Process”) match these proposed and UML represented in [1, 2].

**C. Gatekeeper Agent**

In [3, 4] we have moved the Gatekeeper agent from the negotiation infrastructure, where it was a sub-agent within the host, into the system and made it a full-fledged agent with a number of managerial functions (see Section 4 and Fig. 2 in...
Here we proceed even further, by making the GA responsible for creating Buyer agents (on the request of Client agents). Complete statechart diagram of the Gatekeeper agent can be found in Fig. 5.

![Fig. 4 UML statechart diagram of the Buyer agent](image)

A simple comparison shows that the “new” GA differs from the previous version only in the part related to creation of Buyer agents. After its creation, the GA awaits messages from SAs and CAs. Messages from SAs are exactly the same as before and may concern (i) creation of a new Seller – GA has to get ready to support negotiations for it (state “Preparing negotiations”), (ii) killing of a SeA (in case of product being exhausted and the SA deciding that it will not be replenished), and (iii) changing a negotiation template. Client message request creation of a new Buyer agent and contain its expected ID. The GA checks if a representative of a given CA should be admitted to negotiations – can it be trusted? (here, trust is understood very broadly) – state “Checking CA.” If this CA is considered worthy business relationship, the GA creates a Buyer agent. It is assumed that BAs created this way will be exactly the same as in the original system (when they were created by the CA). If the CA cannot be trusted, the GA sends a rejection message. In the case of changing the negotiation template, the GA “suspends” the Buyer agent creation process. If a list of agents ready to participate in negotiations is non-empty and the BA creation process re-starts. If the SeA is busy, the registration list is deposited in a Buffer and awaits for the Seller to be free while the BA creation process re-starts. All newly created Buyers will receive the new template and will be processed only when the Buffer is empty. Lastly, all BAs that did not receive their strategy module have to request a new one to match the new negotiation template.

Finally, to combine what we have discussed thus far, we present in Fig. 6 an activity diagram of the stage crucial for our considerations: negotiation preparations. The remaining two stages (system initialization and finalization of purchase) remain practically the same as in [3, 4] and are thus omitted.

IV. CONCLUDING REMARKS

In this paper we have introduced a different approach to resolving the conflict between agent mobility and intelligence. By carefully analyzing the process of and agent participating in negotiations described in [1, 2] we were able to delineate the private and the public parts of Buyer agents and use this information to redesign the system. As a result we were able to further reduce network utilization. We are in the process of implementing the proposed framework, as specified by the UML diagrams presented here and in [3, 4]. We will report on our progress in subsequent papers.

REFERENCES

Fig. 5 UML statechart diagram of the Gatekeeper agent
Fig. 6 Activity diagram of negotiation preparations