Performance Assessment of Carrier Aggregation-Based Indoor Mobile Networks

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Abstract—The intelligent management and optimisation of radio resource technologies will lead to a considerable improvement in the overall performance in Next Generation Networks (NGNs). Carrier Aggregation (CA) technology, also known as Spectrum Aggregation, enables more efficient use of the available spectrum by combining multiple Component Carriers (CCs) in a virtual wideband channel. LTE-A (Long Term Evolution–Advanced) CA technology can combine multiple adjacent or separate CCs in the same band or in different bands. In this way, increased data rates and dynamic load balancing can be achieved, resulting in a more reliable and efficient operation of mobile networks and the enabling of high bandwidth mobile services. In this paper, several distinct CA deployment strategies for the utilisation of spectrum bands are compared in indoor-outdoor scenarios, simulated via the recently-developed Realistic Indoor Environment Generator (RIEG). We analyse the performance of the User Equipment (UE) by integrating the average throughput, the level of fairness of radio resource allocation, and other parameters, into one summative assessment termed a Comparative Factor (CF). In addition, comparison of non-CA and CA indoor mobile networks is carried out under different load conditions: varying numbers and positions of UEs. The experimental results demonstrate that the CA technology can improve network performance, especially in the case of indoor scenarios. Additionally, we show that an increase of carrier frequency does not necessarily lead to improved CF values, due to high wall-penetration losses. The performance of users under bad-channel conditions, often located in the periphery of the cells, can be improved by intelligent CA location. Furthermore, a combination of such a deployment and effective radio resource allocation management with respect to user-fairness plays a crucial role in improving the performance of LTE-A networks.

Keywords—Comparative factor, carrier aggregation, indoor mobile network, resource allocation.

I. INTRODUCTION

The recent switch from voice centric to data centric communication models, driven by user need, is leading to the wide usage of various multimedia devices such as smart phones, tablets, personal digital assistants (PDAs), and laptops. This inevitable trend generates increased demand for better performance from the new generation networks in terms of latency and capacity. In this context, new requirements for standardisation must be defined for modern communication technologies.

The characteristics of next generation communication technologies must be those that will ensure a high level of connectivity and an excellent quality of experience. With a view to meeting such requirements, an increase in spectral efficiency must be the main goal for the new advanced techniques that will appear over the next few years. In this context, a great flexibility in spectrum usage must be achieved. An important way to meet these requirements is through the use of CA. This is designed to increase the bandwidth and thus the bitrate, thereby creating the need for new access and management policies for multi-band and multi-bandwidth systems.

As a cellular paradigm, the enhanced heterogeneity of the networks in terms of the usage of different kinds of base stations operating at distinct low- or high-power levels leads to improved performance and capacity. The intelligent deployment of small (micro-) cells working in tandem with macro-cells contributes to the achievement of an excellent level of user connectivity, due to the reduced distance between the access points and the end-users. In addition, the Signal-to-Interference-Plus-Noise Ratio (SINR) associated with each UE has excellent values. However, the development of such Heterogeneous mobile Networks (HetNets) is challenging in several ways. First of all, the coverage disparities of the transmit nodes in HetNets cause uneven traffic loads across different layers, which makes the users within the network more sensitive to the cell association policies [1]. Additional interference may be generated in the network due to the simultaneous operation of many and varied sources of telecommunication signals: macro-cells, femto-cells, picocells, etc. Also, this may be exacerbated by the fact that often the femto-cells are distributed in an uncontrolled manner, especially in buildings. This could result in a deterioration of interference management and degradation of the services offered by mobile operators. In this context, a large amount of mobile traffic occurs in indoor environments, where many obstacles impact the signal propagation and thereby degrade the users’ Quality of Service (QoS). These obstacles, often called blockages, may, according to their density, mitigate the interference or worsen the signal. Therefore, the use of multiple access points to provide uninterrupted coverage is a favoured approach. Low-power femto-cells are often distributed in confined spaces such as large houses, office buildings, shopping centres, etc. with the aim of increasing the performance of mobile services.

The performance of the UE depends on many factors, such as: scheduling algorithms, distance from the serving transmitter, the multipath environment, multiple antenna techniques, etc. In order to provide an excellent indoor QoS in line with users’ needs, telecommunication service providers
need to apply Resource Allocation (RA) algorithms that ensure a high average user throughput (particularly for cell-edge users), good fairness with regard to radio resource distribution, and a lack of outages. The balance between these parameters is highly important in indoor environments (offices, shopping centres, markets, et al.), since the traffic demands are higher, and the signal propagation is worse. In this context, the implementation of CA functionality along with intelligent resource scheduling may improve the network performance in terms of spectral efficiency and QoS.

The main goal of this paper is to determine the level of performance that is achievable when CA is applied in collaboration with different scheduling algorithms. Several distinct scenarios in terms of different numbers of CCs and users are compared in indoor-outdoor environments realised by the RIEG. The simulation results are obtained by system level simulations using the Vienna LTE-A system level simulator [2], and the performance trends are discussed.

II. RELATED WORK

A. Related Work

The authors in [3] examine the impact of spectrum aggregation technology on LTE network performance and more particularly on spectral efficiency, using system-level simulations. The analytical results obtained in this paper show that intra-band SA and contiguous carrier systems that use the same frequency band will achieve the same performance in an LTE system as long as no additional guard band is needed to avoid interference with adjacent channels.

In [4], a newly developed load-aware model for CA-enabled multi-band HetNets is introduced. Under this model, more precise characterisation of the impact of biasing is developed. As is widely known, biasing allows the low power nodes to artificially increase their transmit power. As a result, more UEs can be served by small cells and better traffic load balance can be achieved. Following the analysis, it transpires that the peak data rate does not depend on the base station density and transmit powers, and so an implementation of CA functionality can lead to an increase in peak data rate.

Multi-stage RA with CA algorithms are presented in [5]–[7]. The algorithm in [5] uses the Utility Proportional Fairness (UPF) approach to allocate the primary and secondary carriers’ resources optimally between the mobile users in their coverage area. The primary carrier first allocates its resources optimally among users in its coverage area. The secondary carrier then starts allocating optimal rates to users in its coverage area based on the users’ applications and the rates allocated to them by the primary carrier.

An RA with CA optimisation problem is presented in [6] to allocate resources from the LTE-A carrier and the MIMO radar carrier to each UE, in an LTE-A cell based on the application running on the UE.

A price selective centralised RA with CA algorithm is presented in [7], aiming to allocate multiple carriers’ resources optimally among users while giving the user the ability to select one of the carriers to be its primary carrier and the others to be its secondary carriers. A price is set for each carrier, and each UE then makes a decision per unit bandwidth. However, the multi-stage RA with CA algorithms presented in [5]–[7] reach optimal rate allocation without achieving optimality in terms of pricing.

In [8], a Resource Block (RB) scheduling with CA approach in LTE-A is introduced. Users are split into groups, and each user is assigned RBs from the carriers which are in range. The authors compare the performance of the resource scheduling with CA approach in a case of two different kinds of scheduling. The first of these is the utility proportional fairness resource scheduling policy, and the second is the traditional proportional fairness (traditional-PF) scheduling policy presented earlier in [9]. The system model used for the simulations is however not sufficiently realistic to ensure the reliability of the resulting conclusions.

In [10], an efficient iterative RA algorithm was proposed for CA scenarios with large number of CCs. It was shown that the algorithm obtains the optimal RA solution under special cases of the RA problem, converges to binary-valued RA variables in the general case with only minor assumptions regarding the distribution of utilities, and outperforms heuristic RA schemes.

The authors of [11] analyse the performance gains and complexity level that arise from the aggregation of two and three inter-band component carriers (two CCs and three CCs) using a Vienna LTE System Level simulator. The results show a considerable increase in the average cell throughput when 3CC aggregations are implemented when compared to the 2CC aggregation. On the other hand, a reduction in the fairness index is also observed. Compensating for such a decrease in the fairness index could result in increased scheduler design complexity.

The work in [12] compared the performance of 2CC CA and 3CC CA, but used proprietary data, and equipment that makes it difficult for researchers to reproduce the work for the purposes of comparison and the exploration of further possibilities.

In [13], the authors consider two frequencies: 2 GHz and 5 GHz, which are used by the CA based network. At first, CCs were assigned to a lower frequency, then users with higher Channel Quality Indicator (CQI) values moved to a higher frequency based on the load of all other CCs. The load is calculated based on the availability of cell resources. This leads to increased computational complexity, and a multi-band approach is not considered.

In [14], the User Grouping PF (UG-PF) algorithm is proposed in order to improve fairness between users. In this model, path-loss with a certain threshold and coverage of each CC is calculated. Then, dependent on the number of available CCs which can be assigned to the users from their location, the users are divided into several groups. The cell-edge user groups are also allowed to access the RBs in the CCs of lower frequencies. Thus, the users with poor CQI value can obtain improved user throughput and fairness compared to the conventional PF algorithm. However, the average cell throughput can reduce when allocating many RBs to users.
with poor CQI.

A generalised PF algorithm based on the Cross-CC PF is proposed in [15]. It aims to balance the level of fairness between users with different aggregation capabilities and different channel conditions. This algorithm selects the CC for the users randomly and distributes the load across all CCS. It proves that when the CC selection is predetermined for a user, Cross-CC PF is a better scheduler to improve the throughput. Increased computational complexity is a drawback, due to the requirement for the exchange of data on previous user throughput for all CCS.

An absolute and relative policy is proposed in [16] by considering the signal quality of the CC. This method divides the cell coverage area into two different cells, named primary and secondary serving cells, with CCS respectively designated primary and secondary. The LTE-A user is attached to the eNB firstly with a primary cell, where the authors assume to have the best signal quality. According to their absolute or relative policies, when the signal quality of a CC is higher or lower than a predefined absolute or relative (defined by the use of offsets) threshold, the CC is added to or removed from the resources available to the user as appropriate. The drawback of the paper is that it is extremely difficult to determine the optimal threshold or offset values.

In [17], a new ambitious method is proposed with the aim of maximising the throughput using a PF packet scheduling algorithm. This method considers link adaptation jointly with CC assignment and RB allocation. After calculating the gain of all possible combinations, CCS are assigned to the users which have the highest gain. The authors assumed, unrealistically, that all CCS have an equal number of RB and all users have similar capabilities.

III. CA BASICS

CA consists of aggregating several spectrum bands into a single larger virtual band. The use of CA results in higher available data rates, but more complex and expensive transceivers have to be used. Likewise, CA can also lead to better resource utilisation and spectrum efficiency by means of joint RA and load balancing across the carriers. The scheme is already part of commercial networks aiming to offer double the average throughput is preserved, i.e. efficiency is retained. A priority function is calculated as a ratio of the instantaneous SINR of each user in each Transmission Time Interval (TTI), a choice is made between two of the Quantile Averaging Methods (QAM) presented in [21]: 3-min+max and 2-min. The quantiles theory is applied to the dataset of throughputs for each user for each TTI, after they are sorted into ascending order. To obtain 3-min+max QAM, the dataset is divided into four equal groups. Then, the arithmetic mean of the min and max group are summed. In the case of the 2-min method, the dataset is divided into two equal groups by the median value. Then, the arithmetic mean of the min group is obtained.

A method called SBQMM-PF (SINR Based Quantile Mean Method in Proportional Fair) based on instantaneous user SINR values is presented in this paper. It aims to achieve a fairer distribution of network resources compared to the widely used PF algorithm by modifying it. Depending on the value of the SINR of each user in each Transmission Time Interval (TTI), a choice is made between two of the Quantile Averaging Methods (QAM) presented in [21]: 3-min+max and 2-min. The quantiles theory is applied to the dataset of throughputs for each user for each TTI, after they are sorted into ascending order. To obtain 3-min+max QAM, the dataset is divided into four equal groups. Then, the arithmetic means of the min and max group are summed. In the case of the 2-min method, the dataset is divided into two equal groups by the median value. Then, the arithmetic mean of the min group is obtained.

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According to the results in [21], the 2-min method achieves the best levels of fairness of RA. On the other hand, the usage...
of 3-min+max leads to a very unfair distribution of system resources, especially when the number of users increases. By contrast, this method works very well for cell-centre users, providing them with more resources and resulting in excellent values for the UE average throughput.

The use of the two methods described above aims to increase the performance of users with low SINR, which are often far from their serving cell and thus subject to high levels of interference from nearby cells. The threshold value of SINR, \( \Omega_{\text{SINR}} \), is chosen to be the median value of the set of SINR values for all users in the particular TTI. For users with SINR less than \( \Omega_{\text{SINR}} \), the 3-min+max applies, as it aims to maximise user productivity, also taking into account the fairness of network RA. For users with SINR equal to or greater than \( \Omega_{\text{SINR}} \), the 2-min method is applied, this being one of the fairest in terms of RA. When using the PF algorithm, \( TPF = 1 \) is chosen to ensure that the maximum values of fair allocation of resources available for the algorithm are reached. The simulation research aims to optimise the fairness and QoS for users located at the periphery of the serving cell.

V. SYSTEM MODEL

A. Network Design

A realistic indoor environment comprising constant femto-cell locations and different numbers of users was employed in order to compare the performance of different RA algorithms when CA is enabled. Indoor-outdoor design simulations were conducted, using the RIEG wall pattern method presented by the authors in [22]. This method distributes rectangles in order to model a floorplan with multiple rooms and corridors. The coordinates of the starting point of each rectangle are selected randomly. The sides of the rectangle are then plotted, ensuring that the rectangle remains within the simulated enclosed space named Region of Interest - RoI. The investigations in [22] showed that the RIEG wall arrangement method is the closest to a real-world scenario and is realistic enough to be used as an indoor environment to test interference suppression techniques and algorithms for realistic human mobility and intelligent RA.

Two basic parameters, wall density \( \lambda \) and wall attenuation \( \omega \), characterise the arrangement of the walls. The length of the walls per square metre is defined by the wall density, and the impact of the walls on signal propagation by the wall attenuation.

Fig. 1 shows the indoor-outdoor network environment layout (RoI). The circles denote the femto-cells and the dots represent the locations of the UEs.

B. Comparative Factor

The CF previously introduced in [22] is a metric which simultaneously takes into account four distinct performance parameters: normalised average user throughput (\( F_1 \)), normalised average cell-edge user throughput (\( F_2 \)), fairness (\( F_3 \)) and outage ratio (\( F_4 \)).

In order to provide a meaningful CF value, all four parameters are structured to take values from 0 to 1, so that the CF will range from -1 to 3. Normalisation against experimentally-obtained reference peak user throughputs is employed to transform the average user throughputs of all users and the average cell-edge user throughputs into a dimensionless ratio [22]. Thus, \( F_1 \) and \( F_2 \) take values from 0 to 1.

\[
F = F_1 + F_2 + F_3 - F_4
\]  

(1)

\( F_3 \) describes the level of fairness of RA among the users in the particular mobile network that is under investigation. It is a well-known fact that an unfair RA between different UEs may result in resource starvation, resource wastage, or redundant allocation.

The fourth parameter comprising the CF is the outage ratio, \( F_4 \). It represents the ratio of the number of users with outages to the total number of users. In this study, due to the specifics of the RA algorithms being simulated, \( F_4 \) has a constant value of 0.

VI. SYSTEM-LEVEL SIMULATION RESULTS

A. Simulation Setup

The experiments were carried out using a constant number of 5 femto-cells and different numbers of users: 20 outdoor users and 20 or 40 indoor users. Each of the simulations had a duration of 100 s, equal to 100000 identical TTIs. This ensures the reliability of the results obtained. A floor plan of acceptable realism was modelled with the RIEG wall layout. The traffic model was set to full buffer, which ensures that data are being transmitted at any given time. It was also assumed that there was an external source of interference – an outdoor macro BS. The femto-cells are working in Open Subscriber Group (OSG). Thus, the macro-users are also permitted to be associated to the femto-cells located indoors. This leads to decreased interference, especially when compared to the Closed Subscriber Group (CSG) scenario. All parameters had the same value in each simulation, thus helping to obtain reliable results. The numerical values of the
The experimental results for average UE throughputs are depicted in Figs. 2-7. The comparison of the scenarios is plotted graphically, with the CDF being the reference axis. This function reflects the distribution of the relevant parameter and is not a probability function. During simulation, the UE throughput values achieved by each user are recorded in a database. The graphs represent the distribution of these values, with the CDF changing from 0 to 1, which corresponds to the rate of occurrence of the respective test parameter in percentages from 0 to 100%.

Experimental numerical results for CF are shown in Table IV. The markings "F", "M" and "F+M" denote respectively the value of CF obtained for femto-users, macro-users and all users.

The developed SBQMM-PF algorithm achieves better...
results than the PF algorithm, regardless of the number of users and presence of CA functionality. The levels of fairness in allocating the resources achieved by the two algorithms are similar in value, but the proposed SBQMM-PF algorithm features higher levels of average throughput (Tables II and III). When a traditional scenario without CA is considered, SBQMM-PF shows a clearly better performance compared to the traditionally used PF algorithm (Figs. 2 and 5). It turns out that when different numbers of indoor users are considered, there is no stability in the CFs of either of the methods examined (Table IV). In this context, the SBQMM-PF algorithm should be improved in regard to a more intelligent RA. This can be achieved by better traffic load balance or by favouring certain types of users allocated to femto- or macro-cells.

Once the CA functionality is enabled, the SBQMM-PF clearly shows an improved performance in comparison to the conventional PF algorithm (Table IV). This trend becomes more visible when the number of users increases. The performance of SBQMM-PF lacks stability when scenarios [S1] and [S3] are considered. Obviously a more precise determination of the SINR threshold value $\Omega_{SINR}$ would result in better performance despite the varying number of active users. Hence, the use of CF provides the possibility for more detailed analysis of the behaviour of the algorithms.

Upon consideration of the figures, it can be seen that when an increased number of users is observed, i.e. if there is a higher traffic load, CA has less of an impact (Figs. 3, 4, 6 and 7). In the other words, the use of this technology does not always give significantly better results in terms of overall network performance. In this case, the main reason for this behaviour is the use of the OSG mode of operation in the femto-cells. Unlike the situation with CSG, the interference in the network is much smaller and in turn the impact of CA is far less noticeable. In this context, the intelligent use of CAs can reduce the costs of the mobile operator. The creation of an algorithm to dynamically determine the number of CCs, depending on the mobile network peculiarities such as the presence of buildings, the number of users, the traffic load, the UEs’ SINRs and the cell types from which it is built, will be
the goal of future research.

The SBQMM-PF has to be upgraded in terms of a more intelligent way to determine the optimal value of $\Omega_{SNR}$ in specific traffic conditions.

Generally speaking, more deep research into indoor scenarios of signal propagation needs to be conducted by engineers in order to enhance the performance of NGNs.

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